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PATENT  
Attorney Docket No.: SONY-26400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Xin Xue et al.

Serial No.: 10/666,888

Filed: September 17, 2003

For: **VERSION BASED CONTENT  
DISTRIBUTION AND  
SYNCHRONIZATION SYSTEM  
AND METHOD**

) Group Art Unit: 2189

) Examiner:

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

) Customer No.: 28960

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

A Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon are enclosed, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: March 12, 2007

By: Jonathan O. Owens

Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

**CERTIFICATE OF MAILING (37 CFR § 1.9(a))**  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 3/12/07 By: M. Heenan



PATENT  
Attorney Docket No.: SONY-26400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                                   |   |  |
|-----------------------------------|---|--|
| In re Application of:             | ) | Group Art Unit: 2189                           |
| Xin Xue et al.                    | ) | Examiner:                                      |
| Serial No.: 10/666,888            | ) |  |
| Filed: September 17, 2003         | ) | <b><u>CERTIFICATION FOR</u></b>                |
|                                   | ) | <b><u>SUPPLEMENTAL</u></b>                     |
|                                   | ) | <b><u>INFORMATION DISCLOSURE</u></b>           |
| For: <b>VERSION BASED CONTENT</b> | ) | <b><u>STATEMENT UNDER 37 CFR § 1.97(e)</u></b> |
| <b>DISTRIBUTION AND</b>           | ) |  |
| <b>SYNCHRONIZATION SYSTEM</b>     | ) | 162 North Wolfe Road                           |
| <b>AND METHOD</b>                 | ) | Sunnyvale, CA 94086                            |
|                                   | ) | (408) 530-9700                                 |
|                                   | ) | Customer No.: 28960                            |

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on February 6, 2007. Accordingly, I hereby certify that no reference contained within this information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2)

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 3/2/07 By: Am Flena

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: March 12, 2007

By:   
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants



PATENT  
Attorney Docket No.: SONY-26400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                                   |   |  |
|-----------------------------------|---|--|
| In re Application of:             | ) | Group Art Unit: 2189                   |
| Xin Xue et al.                    | ) | Examiner:                              |
| Serial No.: 10/666,888            | ) | <b><u>SUPPLEMENTAL INFORMATION</u></b> |
| Filed: September 17, 2003         | ) | <b><u>DISCLOSURE STATEMENT</u></b>     |
| For: <b>VERSION BASED CONTENT</b> | ) | 162 N. Wolfe Road                      |
| <b>DISTRIBUTION AND</b>           | ) | Sunnyvale, CA 94086                    |
| <b>SYNCHRONIZATION SYSTEM</b>     | ) | (408) 530-9700                         |
| <b>AND METHOD</b>                 | ) | Customer No.: 28960                    |

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Publication No. 2002/0013852 A1;
- U.S. Publication No. 2002/0080169 A1;
- U.S. Patent No. 6,615,248 B1; and
- Howard P. Katseff et al. "Predictive Prefetch in the Nemesis Multimedia Information Service", AT&T Bell Laboratories, pgs. 201-209.

CERTIFICATE OF MAILING (37 CFR § 1.6(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 3/12/07 By: Jim Flanagan


This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: March 12, 2007

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

|  |    |   |                            |  |  |                                 |          |                        |    |
|--|----|---|----------------------------|--|--|---------------------------------|----------|------------------------|----|
| FORM PTO-1449<br>(Modified)  |    |   |                            | U.S. Department of Commerce<br>Patent and Trademark Office |  | Attorney Docket No.: SONY-26400 |          | Serial No.: 10/666,888 |    |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT<br>(Use Several Sheets If Necessary)<br>(37 CFR § 1.98(b))   |    |   |                            |  |  | Applicant: Xin Xuc et al.       |          |                        |    |
|  |    |   |                            |  |  | Filing Date: September 17, 2003 |          | Group Art Unit: 2189   |    |
| U.S. PATENT DOCUMENTS<br>  |    |   |                            |  |  |                                 |          |                        |    |
| Examiner<br>Initials   |    | Publ. Number /<br>Patent Number   | Publ. Date /<br>Issue Date | Applicant / Patentee                                       |  | Class                           | Subclass | Filing Date            |    |
| /DB/   | AA | 2002/0013852 A1   | 1/31/02                    | Janik  |  | 709                             | 231      | 4/24/01                |    |
| /DB/   | AB | 2002/0080169 A1   | 6/27/02                    | Diederiks  |  | 345                             | 744      | 7/19/01                |    |
| /DB/   | AC | 6,615,248 B1  | 9/2/03                     | Smith  |  | 709                             | 217      | 8/16/99                |    |
|  | AD |   |                            |  |  |                                 |          |                        |    |
|  | AE |   |                            |  |  |                                 |          |                        |    |
|  | AF |   |                            |  |  |                                 |          |                        |    |
|  | AG |   |                            |  |  |                                 |          |                        |    |
|  | AH |   |                            |  |  |                                 |          |                        |    |
|  | AI |   |                            |  |  |                                 |          |                        |    |
|  | AJ |   |                            |  |  |                                 |          |                        |    |
|  | AK |   |                            |  |  |                                 |          |                        |    |
|  | AL |   |                            |  |  |                                 |          |                        |    |
|  | AM |   |                            |  |  |                                 |          |                        |    |
|  | AN |   |                            |  |  |                                 |          |                        |    |
|  | AO |   |                            |  |  |                                 |          |                        |    |
| FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS   |    |   |                            |  |  |                                 |          |                        |    |
|  |    | Document<br>Number  | Publication Date           | Country / Patent Office                                    |  | Class                           | Subclass | Translation            |    |
|  |    |   |                            |  |  |                                 |          | Yes                    | No |
|  |    | AP  |                            |  |  |                                 |          |                        |    |
|  |    | AQ  |                            |  |  |                                 |          |                        |    |
|  |    | AR  |                            |  |  |                                 |          |                        |    |
|  |    | AS  |                            |  |  |                                 |          |                        |    |
|  |    | AT  |                            |  |  |                                 |          |                        |    |
| OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)  |    |   |                            |  |  |                                 |          |                        |    |
| /DB/   | AU | Howard P. Katseff et al. "Predictive Prefetch in the Nemesis Multimedia Information Service", AT&T Bell Laboratories, pgs. 201-209. |                            |  |  |                                 |          |                        |    |
|  | AV |   |                            |  |  |                                 |          |                        |    |
|  | AW |   |                            |  |  |                                 |          |                        |    |
|  | AX |   |                            |  |  |                                 |          |                        |    |
|  | AY |   |                            |  |  |                                 |          |                        |    |
|  | AZ |   |                            |  |  |                                 |          |                        |    |
|  | BA |   |                            |  |  |                                 |          |                        |    |
|  | BB |   |                            |  |  |                                 |          |                        |    |
| Examiner: /Douglas Blair/  |    |   |                            | Date Considered: 05/25/2008                                |  |                                 |          |                        |    |
| <b>EXAMINER:</b> Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |   |                            |  |  |                                 |          |                        |    |